

2905 Maple Avenue | Dallas, TX 75201

T: 888.878.4426 | Fax: 214.954.1281 www.hodgescapital.com

IARD # 110080

Firm Brochure Supplement (Part 2B of Form ADV)

Dated: August 31, 2021

SUPERVISED PERSONS:

Craig D. Hodges

Eric J. Marshall

Alan D. Ebright

All Supervised Personal can be reached at the address and telephone number listed above.

This Brochure Supplement provides information about the above listed Supervised Persons that supplements the Hodges Capital Management, Inc. Brochure. You should have received a copy of that brochure. Please contact Compliance at (888) 878-4426 if you did not receive Hodges Capital Management, Inc.'s Brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed Supervised Persons is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>

CRAIG D. HODGES

CRD# 1714289

Item 2: Education Background and Business Experience

Craig D. Hodges, born 1963, graduated in 1986 from Baylor University in Waco, TX, where he received his Bachelor of Business Administration ("B.B.A") degree in Finance & Marketing.

Business Background:

| EMPLOYER | START DATE | END DATE | POSITION |
|---|----------------|----------|---|
| Hodges Capital Management, Inc. of the Hodges Funds | October, 1999 | Current | Co-Portfolio Manager of the Hodges Funds |
| Hodges Capital Management, Inc. | October, 1998 | Current | Chairman & Investment Advisor Representative |
| First Dallas Securities, Inc. | November, 1989 | Current | President, Investment Advisor Representative & Registered Representative |

Item 3: Disciplinary Information

Craig D. Hodges does have a disciplinary event disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at <u>www.finra.org/brokercheck</u> or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA. You may also access a full report of our advisory agents through the IARD link at <u>www.adviserinfo.sec.gov</u>.

Item 4: Other Business Activities

- Craig Hodges is a Partner in HCCC, Inc. and Basin Springs Properties LTD, which are family partnerships.
- Craig Hodges is an investor and board member in VLSIP Technologies, a privately held company.
- Craig Hodges is an investor and member of the LLC for Green Park & Golf Ventures.
- Craig Hodges is a manager, Honyock Holdings, LLC

Item 5: Additional Compensation

As our responsibility to clients, Craig D. Hodges at all times will place the interests of investment advisory clients first. Clients should be aware, however, that the receipt of compensation from First Dallas Securities could create a conflict of interest.

Item 6: Supervision

Craig D. Hodges is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

Item 7: Requirement for State-Registered Advisers

N/A

ERIC J. MARSHALL

CRD # 2981115

Item 2: Education Background and Business Experience

Eric J. Marshall, born 1973, graduated in 1997 from West Texas A & M University in Canyon, TX, where he received his Bachelor of Arts ("B.A.") degree in Finance.

Professional Licenses:

Eric J. Marshall is a Chartered Financial Analyst ("CFA"). The Chartered Financial Analyst is a professional designation given by the CFA Institute (formerly AIMR) that measures the competence and integrity of financial analysts. Candidates are required to pass three levels of exams covering areas such as accounting, economics, ethics, money management and security analysis. The CFA Institute is a global, not-for-profit organization comprising the world's largest association of investment professionals. With over 100,000 members, and regional societies around the world and is dedicated to developing and promoting the highest educational, ethical, and professional standards in the investment industry.

Business Background:

| EMPLOYER | START DATE | END DATE | POSITION |
|------------------------------------|---------------|----------|---|
| Hodges Capital Management, Inc. of | December 2007 | Current | Co-Portfolio Manager of the Hodges Funds |
| the Hodges Funds | | | |
| Hodges Capital Management, Inc. | November 2001 | Current | President & Investment Advisor Representative |
| First Dallas Securities, Inc. | July 2005 | Current | VP & Registered Representative |

Item 3: Disciplinary Information

Eric J. Marshall does have a disciplinary event that is disclosed on his registration history.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at <u>www.finra.org/brokercheck</u> or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA. You may also access a full report of our advisory agents through the IARD link at <u>www.adviserinfo.sec.gov</u>

Item 4: Other Business Activities

- Managing Member, Marshall Land & Cattle, LLC.
- Board member, 2905 Maple LLC.
- Board member, Sentieo.

Item 5: Additional Compensation

Eric J. Marshall does not receive any economic benefits for providing advisory services from someone who is not a client of Hodges Capital Management, Inc. that has not already been disclosed in this Brochure.

Item 6: Supervision

Eric J. Marshall is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

Item 7: Requirement for State-Registered Advisers

N/A

ALAN D. EBRIGHT

CRD # 2584974

Item 2: Education Background and Business Experience

Alan D. Ebright, born 1972, graduated in 1994 from the University of Southern California in Los Angeles CA, where he received his Bachelor of Science ("B.S.") degree in Finance and Accounting.

| Business | Background: |
|----------|-------------|
| Dusiness | Dackground. |

| EMPLOYER | START DATE | END DATE | POSITION |
|---------------------------------|---------------|----------------|-----------------------------------|
| Hodges Capital Management | February 2021 | Current | Investment Advisor Representative |
| Fisher Investments | April 2013 | October, 2020 | Vice President |
| Ascendiant Capital Markets, LLC | January 2013 | April 2013 | Registered Representative |
| Capstone Investments LLC | October 2011 | January 2013 | SVP Institutional Sales |
| Miller Tabak & Co LLC | March 2009 | September 2011 | Institutional Sales and Trading |

Item 3: Disciplinary Information

Alan D. Ebright does not have any disciplinary information to disclose.

A full report that reflects the professional background, business practices, and conduct of our advisory agents is available through the Financial Industry Regulatory Authority's (FINRA) BrokerCheck system link at <u>www.finra.org/brokercheck</u> or you may request disclosable information under BrokerCheck by calling (800) 289-9999, a toll-free hotline operated by FINRA. You may also access a full report of our advisory agents through the IARD link at <u>www.adviserinfo.sec.gov</u>.

Item 4: Other Business Activities

Alan D. Ebright does not have any other business activities to disclose.

Item 5: Additional Compensation

Alan D. Ebright does not receive any economic benefits for providing advisory services from someone who is not a client of Hodges Capital Management, Inc. that has not already been disclosed in this Brochure.

Item 6: Supervision

Alan D. Ebright is supervised by Firm Principals and/or the Chief Compliance Officer. Supervision is ongoing and includes, periodic account reviews, trade supervision, annual compliance reviews including, but not limited to the testing of Firm systems, staff meetings and policies and procedures. As of the date of this Brochure, the Firm Principals and/or the Chief Compliance Officer can be reached at (888) 878-4426 should you have any additional questions or concerns.

Item 7: Requirement for State-Registered Advisers

N/A