

# LEGACY WEALTH MANAGEMENT

## LWM Advisory Services

LWM's Investment Advisor Committee is responsible for the design, implementation, and on-going monitoring of the investment management activities of Legacy Wealth Management. The committee is tasked with on-going reviews, revisions, adjustments, and modifications of established policies and procedures; as necessary in a fast-changing and evolving global market environment. These guidelines are adopted to create and foster an environment of collective idea sharing, execution, checks and balances, discipline, and macro thinking.

### LWM'S INVESTMENT COMMITTEE DUTIES

The members LWM's Investment Committee have certain responsibilities and duties they're expected to carry out. Some of those duties include:



Establishing investment policies, guidelines, weightings, and asset allocation strategies; commensurate with investment objectives



Oversight of the implementation of investment strategies across all firm managed portfolio strategies



On-going and active tracking of investment performance



Weekly & Bi-Weekly reviews of all firm portfolios, performance, holdings, third party managers, and asset allocation

**"As an independent and conflict-free Investment Management Firm, we can and will gather investment and economic data from various professional & industry-leading [reliable] sources; never being held to just one opinion, bias, or investment philosophy" – Tony DuBose**



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# INVESTMENT COMMITTEE

The purpose of the investment committee is to design, implement, and monitor the investments of Legacy Wealth Management. The committee may adjust the policies and procedures as necessary in a changing market environment without notice. These guidelines are adopted to create an environment of collective idea sharing, checks and balances, consistent processes, and macro thinking.

The Investment Committee follows a template for quarterly review of all holdings, models, position review, macro factors that may affect the portfolios, client needs, and advisor education. The investment committee conducts a more frequent and less formal monthly meeting to review timely items and currently changing macro conditions, as well as impromptu sessions when appropriate given market extremes.



Tony DuBose, AIF®

Managing  
Principal



Joel Palatnik

Managing  
Director



Rely Hechter, CFP®

Wealth  
Advisor



Neelie Sacharow

Portfolio  
Analyst  
+  
Wealth  
Advisor



Burt White

Chief  
Investment  
Officer and LPL  
Managing  
Director



Securities offered through LPL Financial, Member FINRA/SIPC. Advisory Services are offered through LWM Advisory Services LLC, a registered investment advisor. Legacy Wealth Management and LWM Advisory Services LLC are separate entities from LPL Financial.



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