

November 2019

## Dear Valued Supplier:

This letter is to inform you of United States ("U.S.") federal legislation affecting certain U.S. manufacturers and their suppliers. AstrodyneTDI must comply with the requirements of this legislation. As one of our suppliers, we must have your assistance to comply with these requirements.

## **Conflict Minerals**

In 2010, U.S. President Barack Obama signed into law the Wall Street Reform and Consumer Protection Act, also known as the Dodd-Frank Act. This law imposes requirements relating to "Conflict Minerals". Specifically, this law imposes Security and Exchange Commission ("SEC") reporting requirements upon publicly-traded companies whose products contain materials derived from minerals defined as "Conflict Minerals", which include tantalum, tin, tungsten, and gold.

These new reporting requirements reflect concern that revenues obtained from mining and trade of Conflict Minerals finance and support the ongoing conflict, human rights violations, and labor and environmental abuses in the Democratic Republic of the Congo ("DRC"), Angola, Burundi, Central African Republic, Republic of Congo, Rwanda, South Sudan, Tanzania, Uganda and Zambia (together, referred to as "Covered Countries"), and create a humanitarian crisis.

The SEC requirements became effective in 2013. AstrodyneTDI is required to report annually to many of our customers (a) Conflict Minerals in our products, (b) the cooperation of our supply chain in identifying Conflict Minerals, including identifying the country of origin for any tantalum, tin, tungsten, and gold and (c) whether Conflict Minerals are from the Covered Countries and, if so, whether they are "conflict free" (that is, they do not directly or indirectly finance armed groups through mining or mineral trading in the Covered Countries).

To comply with our customer requests, AstrodyneTDI requires you to provide information to us and, if appropriate, you must contact your suppliers and, in turn, they must go back to their suppliers throughout the supply chain, until it can be determined if the products you provide us contain Conflict Minerals and the source of these minerals. These requirements apply equally to U.S. and non-U.S. suppliers. Further, these requirements apply even if you are a supplier to our facilities outside the U.S.

AstrodyneTDI will utilize the electronic template controlled and maintained by RMI (Responsible Minerals initiative) for data collection. To facilitate your response directly, send surveys to your suppliers, or any additional information related to this subject, please download the most current CMRT (Conflict Minerals Reporting Template) from this link:

http://www.conflictfreesmelter.org/ConflictMineralsReportingTemplateDashboard.htm.

The determination of whether Conflict Minerals qualify as "conflict free", is dependent on whether the smelter it is sourced from is conflict free. The conflictfreesmelter.org website tracks information in this regard and identifies conflict free smelters.



As required by the law, we expect you to survey your suppliers for their use of Conflict Minerals and they, in turn, must provide information from their suppliers throughout your supply chain. Please use link to the website indicated above, and provide the information to AstrodyneTDI for your business, based on the information from your supply chain. This law does not require AstrodyneTDI to make any changes in our suppliers, regardless of the source of the materials you provide, and we have no plans to do so. Accordingly, you should not be concerned that your response will result in loss of our business. However, we must have information from you so that we can meet our obligations.

## Your Requirements as an AstrodyneTDI Supplier

- Download and complete the RMI CMRT form. For your convenience, the RMI form has been standardized so that once you complete the form the first time (company declaration) it can be submitted to any other company requesting the information from you. If you have already completed this form for another customer, you need only to e-mail us the form.
- Verify that your response encompasses all of your supply chain back to the smelter, if you use any of the Conflict Minerals in your product.
- Submit your completed supplier template to AstrodyneTDI. Please send the file as an attachment via e-mail to your Astrodyne TDI representative.

Sincerely,

**Astrodyne TDI Representative** 

