



LOCATION
Texas

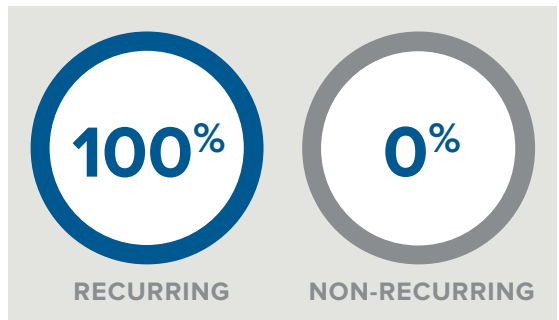
REVENUE
\$462,868

ASKING
\$1,350,000

This Houston, Texas-based RIA provides its 68 households with customized, comprehensive, and goal-driven financial planning and investment management services. The client portfolios consisting of individual equity securities complemented by ETFs and mutual funds. Client’s investment objectives are typically focused on managing levels of risk and realizing returns commensurate with the risks being assumed. The targeted investment strategies are directly related to their needs as derived from each client’s customized financial plan. The practice generated \$463,000 in trailing 12-month revenues, 99% of which was from recurring revenue for managing approximately \$71 million in assets.

The ideal acquisition partner for this practice would be a well-established, planning-focused RIA that deploys a similar investment philosophy and strategy. The partner firm would ideally have at least one team member that is a CFP®, CFA®, CIMA®, or a CPA. The principal of the firm provides a Sale and Stay® opportunity as he begins a short glide path to retirement. There is one key employee, a skilled CFP®, to be retained post-sale.

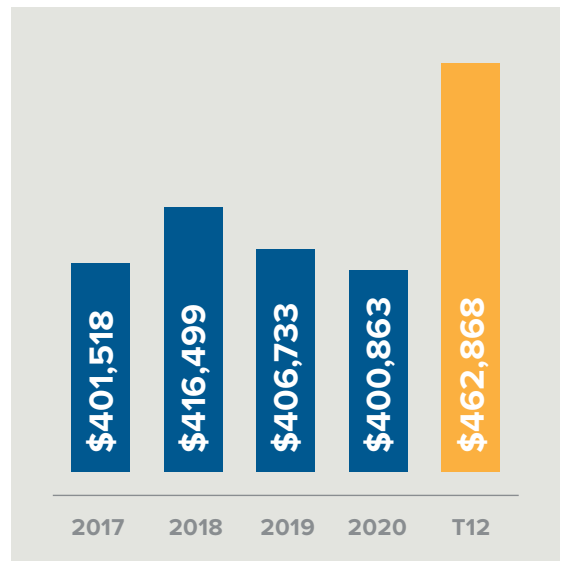
REVENUE



PRACTICE INFORMATION

Total Assets Under Advisory	\$71,644,013
Assets Under Management	\$68,608,615
Households	68
Form of Ownership	LLC
Total Number of Employees	2
Billing Management Software	Orion Advisor Services

HISTORICAL REVENUE



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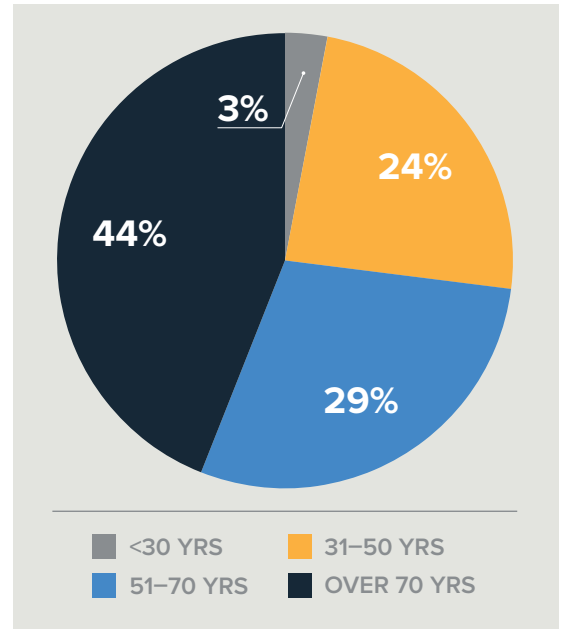
SELLER INFORMATION

Designations	CFA®, MBA, CKA®
Education	Master's Degree
Years in Industry	42
Seller's Age Range	70-75

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	\$461,089	
HOURLY-BASED		
Financial Planning	\$1,779	
TOTALS		
	\$462,868	

CLIENT DEMOGRAPHICS



REVENUE SOURCES

