



LOCATION
New Jersey

REVENUE
\$883,393

ASKING
\$1,950,000

This practice is a RIA based in the New York metro area. The practice provides holistic investment and wealth management services and comprehensive financial planning to their 121 households. Approximately 63% of the trailing 12-month revenue of \$883,393 was generated from managing approximately \$102,000,000 in assets, with the remaining revenue received primarily from financial planning, 401(k) plans, and insurance. This practice has a loyal client base with an average client tenure of more than 25 years.

This is a Sell and Stay® opportunity as two of the practice’s minority partners are seeking a post-sale relationship with the acquiring firm. This is an excellent turnkey opportunity for a firm looking to add to their existing New York business or establish a satellite office in the New York metro area. The ideal acquisition partner would have or agree to hire a local seasoned and credentialed lead advisor to manage the acquired client relationships. The practice’s office space is available for lease.

PRACTICE INFORMATION

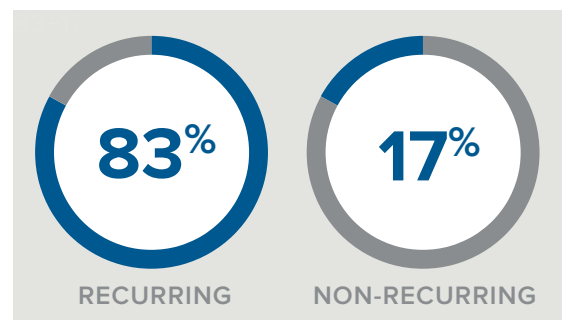
Assets Under Advisory	\$122,000,000
Assets Under Management	\$102,000,000
Form of Ownership	S. Corp
Total Number of Employees	3
Billing Management Software	QuickBooks®

INQUIRE NOW

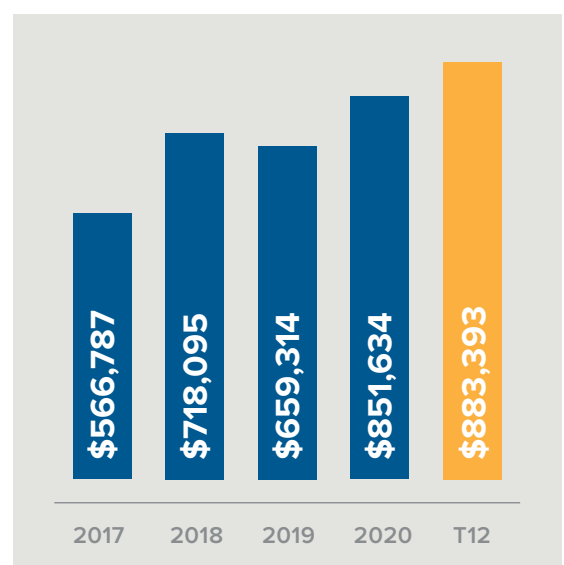
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REVENUE



HISTORICAL REVENUE





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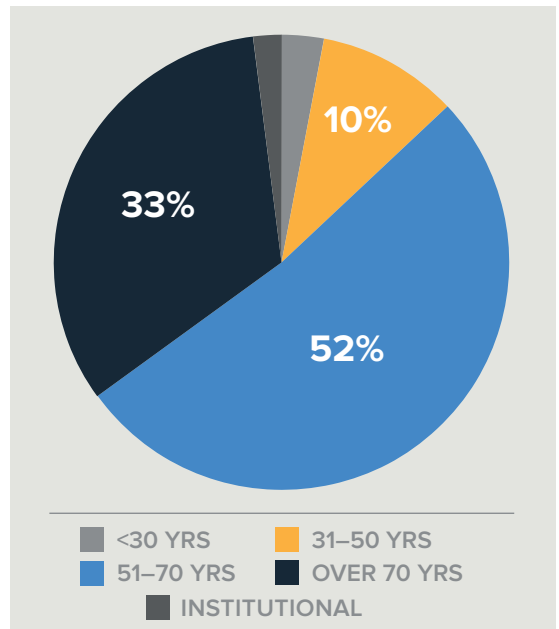
SELLER INFORMATION

Licenses	6, 7, 63, 65, 66
Designations	CFP®, ChFC®, CLU®, MSFS®, RICP®
Education	Master's Degree
Years in Industry	46
Insurance Lines Carried	Life, Health, Disability, LTC

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	\$501,426	
401k Plans	\$58,475	
SECURITIES-BASED		
Mutual Funds	\$15,419	
Variable Annuities		\$2,088
HOURLY-BASED		
Financial Planning	\$93,923	
Consulting		\$7,000
INSURANCE-BASED		
Term Life	\$2,122	\$96,771
Group Insurance	\$57,715	
Other		\$48,454
TOTALS		
	\$729,080	\$154,313

CLIENT DEMOGRAPHICS



REVENUE SOURCES

