FP TRANSITIONS®

LISTING 21-337

LOCATION	REVENUE	ASKING
Connecticut	$1,149,734	$3,200,000

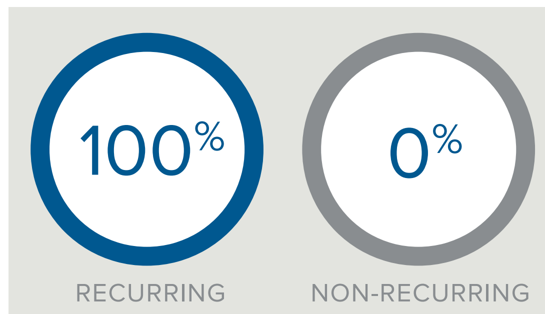
This Connecticut-based RIA is focused on providing its 68 households with goal-oriented comprehensive financial planning and investment management services. With approximately $1,150,000 in trailing 12-month revenues from managing $230,000,000 in assets, this practice would make a great addition to a larger firm seeking to establish or expand its presence in western Connecticut.

This is a Sell and Stay® opportunity as both principals and one administrative staff member would like to remain with the acquiring firm post-sale. The ideal acquisition partner would be a firm that has a proven track record of providing its clients with a similar personalized experience, and has established custodial relationships with Fidelity and Charles Schwab. A firm with a dedicated trust, research, and investment departments would be a plus.

PRACTICE INFORMATION

Assets Under Advisory	$230,000,000
Assets Under Management	$230,000,000
Households	68
Form of Ownership	S Corp.
Total Staff	3

REVENUE



HISTORICAL REVENUE

INQUIRE NOW

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Connecticut	$1,149,734	$3,200,000

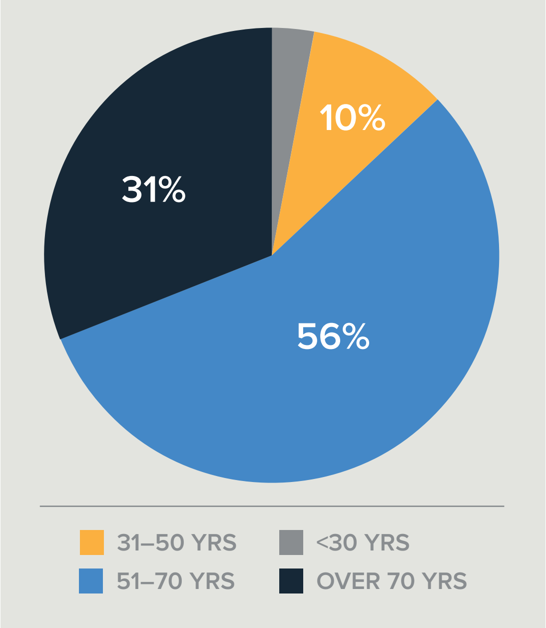
SELLER INFORMATION

Designations	CFP®, ChFC®, CLU®, CPWA®
Education	Bachelor's Degree
Seller's Age Range	50-55

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	$1,139,734	
HOURLY-BASED		
Financial Planning	$10,000	
TOTALS		
	$1,149,734	

CLIENT DEMOGRAPHICS



REVENUE SOURCES