FP TRANSITIONS®

LISTING 21-336

LOCATION	REVENUE	ASKING
Washington	$1,438,639	$3,850,000

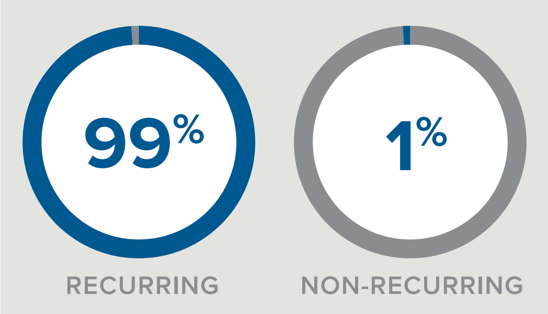
This fee-only RIA based in Seattle, Washington is focused on providing their 89 households with personalized, holistic financial planning and portfolio management, mainly via no-load mutual funds, SMAs, and municipal bonds. The practice was built by establishing strong personal relationships with its clients who have an average tenure with the practice of 15 years. Trailing 12 months revenue as of the end of Q1 2021 was over $1,400,000 from managing approximately $237,000,000 in assets under management.

The ideal buyer for this practice would be a fee-only RIA with a “client-first” mentality that has a strong background in problem solving and providing clients with comprehensive individualized financial plans. The ideal buyer would also retain the practice’s 5 staff members, including a CFA® and a CFP®.

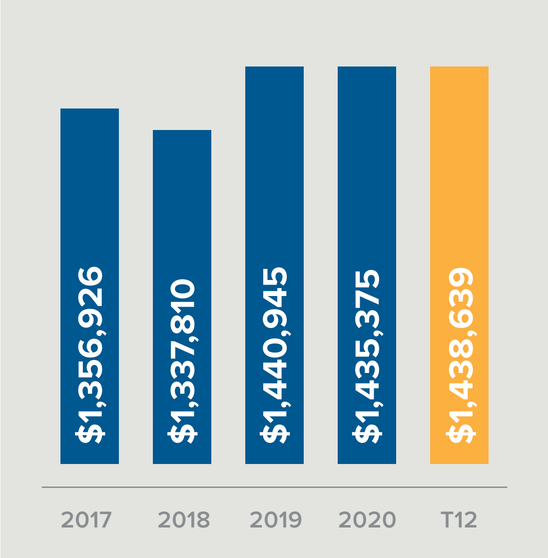
PRACTICE INFORMATION

Assets Under Advisory	$236,807,107
Assets Under Management	$236,807,107
Households	89
Form of Ownership	S Corp.
Total Staff	6
Billing Management Software	QuickBooks®

REVENUE



HISTORICAL REVENUE



INQUIRE NOW

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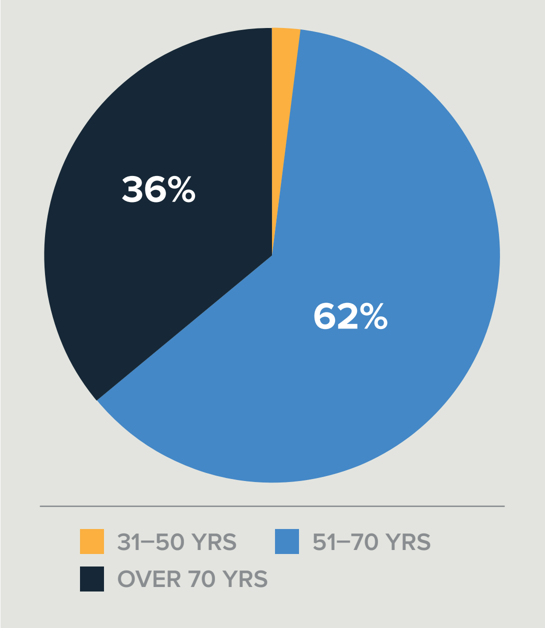
SELLER INFORMATION

Designations	CFP®
Education	Bachelor's Degree
Years in Industry	31
Seller's Age Range	65–70

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	$1,408,200	
3rd Party Managed Assets	$8,939	
HOURLY-BASED		
Financial Planning		$21,500
TOTALS		
	$1,417,139	**$21,500**

CLIENT DEMOGRAPHICS



REVENUE SOURCES