



LOCATION

Southern New York

REVENUE

\$986,135

ASKING

\$2,750,000

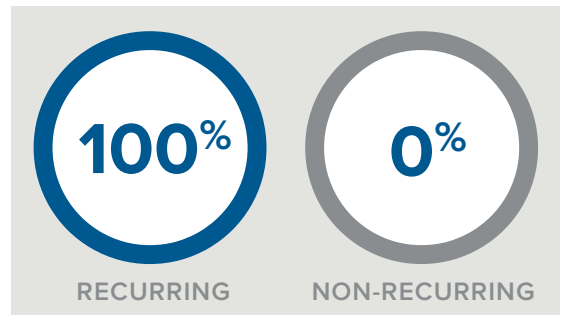
This fee-only RIA based in southern New York is focused on providing its clients with tailored investment management and financial planning services. This practice mainly utilizes model portfolios comprised of ETFs and mutual funds to manage over \$140 million in assets for their 162 client households. This practice’s trailing 12-month revenue is \$986,135.

This is an ideal acquisition opportunity for a fee-only RIA firm that is looking to expand its presence in the area or establish a satellite office. The preferred acquisition partner would have, or be committed to establishing, a custodial relationship with Fidelity and have at least one CFP® on staff. This is a Sell and Stay® opportunity as both of the practice’s principals are looking for a relationship with the acquiring firm post-sale that provides them with a defined glide path to retirement.

PRACTICE INFORMATION

Assets Under Advisory	\$140,561,811
Assets Under Management	\$132,069,671
Number of Households	162
Form of Ownership	LLC
Total Number of Employees	4

REVENUE

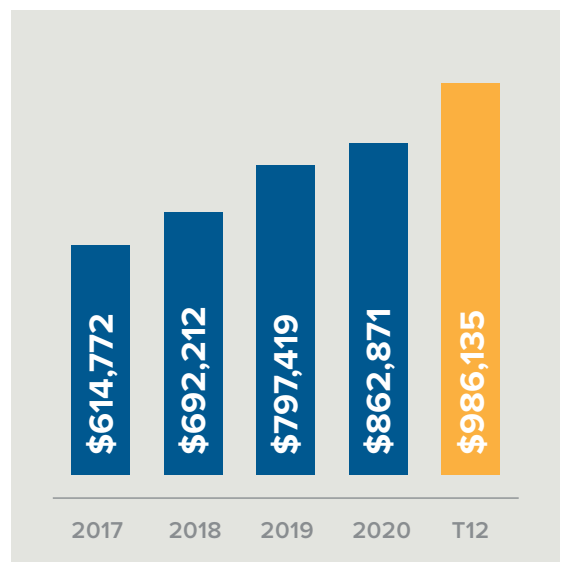


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HISTORICAL REVENUE





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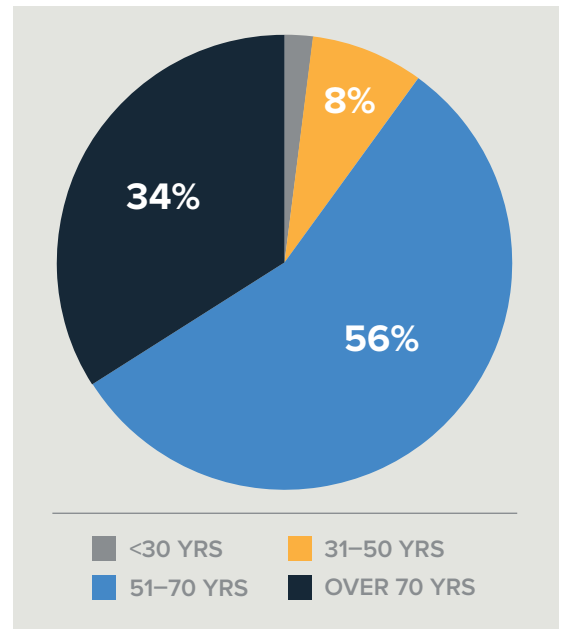
SELLER INFORMATION

Licenses	65
Designations	CFP®, CDFA®
Education	Master's Degree
Years in Industry	46

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	\$986,135	
TOTAL		
	\$986,135	

CLIENT DEMOGRAPHICS



REVENUE SOURCES

