FP TRANSITIONS®

LISTING 20-295

LOCATION	REVENUE	ASKING
Northern CA	$354,228	$900,000

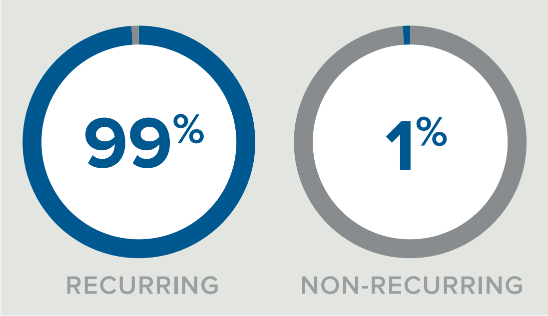
This Northern California RIA practice is focused on providing investment management and financial planning services to its 84 client households that are mainly in Northern California and southern Oregon. The practice also provides clients with guidance on socially responsible investing. Gross revenue for this practice is $354,228, of which 99% is recurring revenue from $39 million in fee assets.

An ideal buyer would be a larger RIA firm with an established presence in Northern California or that seeks to have a satellite office in this location. As a Sell and Stay® opportunity, the sole owner/advisor and one non-licensed employee wish to continue working post-sale.

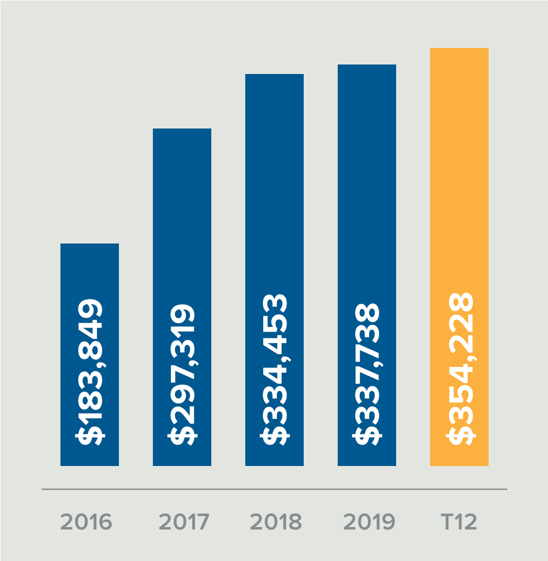
PRACTICE INFORMATION

Assets Under Advisory	$40,200,994
Assets Under Management	$38,731,281
Households	84
Form of Ownership	S Corporation
Total Number of Employees	1
Billing Management Software	Blueleaf & BillFin
Number of Branch Offices	1

REVENUE



HISTORICAL REVENUE



INQUIRE NOW

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or log in to your member dashboard to inquire.

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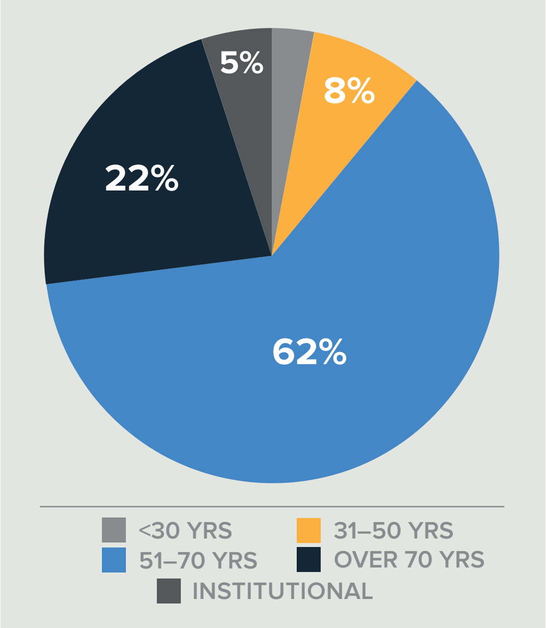
SELLER INFORMATION

Licenses	66
Designations	CFP
Education	MBA
Years in Business	6
Years in Industry	17
Seller's Age Range	60–65
Insurance Lines Carried	Life, Health, Disability, LTC

CLIENT DEMOGRAPHICS



SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	$348,061	
401(k) Plans	$1,586	
HOURLY-BASED		
Financial Planning		$4,581
TOTALS		
	$349,647	**$4,581**

REVENUE SOURCES