FP TRANSITIONS

LISTING 20-297

LOCATION	REVENUE	ASKING
Rocky Mountain Region	$203,600	$500,000

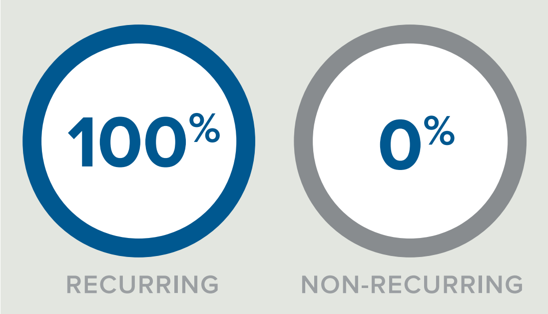
As a fee-only RIA, this Rocky Mountain Region-based practice focuses first and foremost on providing independent financial planning to its 38 client households. The firm utilizes a flat fee structure based on assets and has an established, loyal client base with an average tenure of 14 years. Annual gross revenue for this practice is $203,600, of which $191,600 is from $45 million in assets under management and $12,000 is from hourly financial planning work.

The seller seeks a buyer with experience that aligns with the practice's specialty in serving clients who are close to or in retirement, and who prioritizes personalized client service and education before investment management. Having a Certified Financial Planner® on staff is required. The buyer must also be available to meet clients in person at a minimum of once annually.

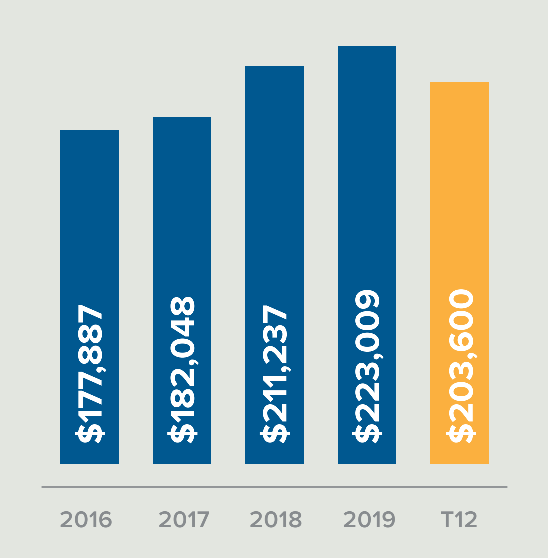
PRACTICE INFORMATION

Assets Under Advisory	$45,300,000
Assets Under Management	$45,300,000
Households	38
Form of Ownership	S Corporation

REVENUE



HISTORICAL REVENUE



INQUIRE NOW

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or log in to your member dashboard to inquire.

NOT A MEMBER? Create your free membership at **fptransitions.com/membership**.

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Rocky Mountain Region	$203,600	$500,000

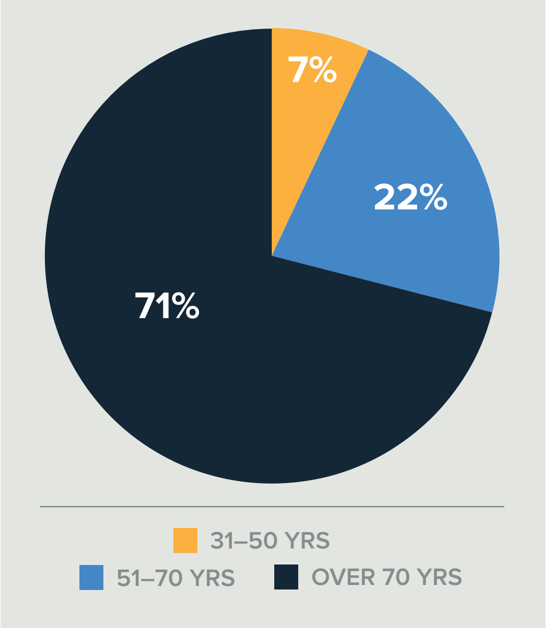
SELLER INFORMATION

Designations	CFP
Education	Master's Degree
Years in Business	20
Years in Industry	20
Seller's Age Range	55–60

SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	$191,600	
HOURLY-BASED		
Financial Planning	$12,000	
TOTALS		
	$203,600	

CLIENT DEMOGRAPHICS



REVENUE SOURCES