

WHO IS ENVISION?

Envision Financial Systems, Inc. provides real-time mutual fund recordkeeping technology and outsource solutions. Our solutions enable firms with shareholder accounting needs to consolidate and control investor activity, increase representative and investor satisfaction, and help mitigate operational and economic risks.

Envision's software supports 14,700 CUSIPS and 15.1 million total shareholder positions.

KEY DIFFERENTIATORS

Envision stands out in the financial services industry for offering nimble and adaptable technology, aimed at increasing customer productivity, reducing costs and supporting customer innovation and access to information.

Our ethos has always been to customize our product to:

- Listen to, and collaborate with, our customers.
- Meet client needs rather than expect clients to adapt to meet our technology.
- Provide faster time to market with system modifications and new products.

Our software is open, intuitive and scalable:

- Based on common Microsoft technology and drop-down driven menus that provide access to real-time information.
- Reduces employee training to make them productive quickly.
- Supports the exchange of information with surround systems.
- Delivered through Software as a Service or Client server and is scalable to the largest mutual fund complexes.

Focus on Security:

We provide the latest private cloud hosting technology, embed a security focus in our software development, and leverage certified ethical hackers to find and correct infrastructure and software vulnerabilities.

RECENT INNOVATIONS - FUNDKEEPER

FundKeeper is a mutual fund trading, clearing and back-office administrative and operational service for independent broker dealers and other financial intermediaries. It consolidates existing direct-at-fund accounts across multiple fund families onto a single, automated trading and recordkeeping platform located in a secure, private cloud.

Envision and FundKeeper have been recognized with multiple industry awards including:

- **Fund Intelligence Mutual Fund Technology and Service Awards:** 2017 Best Clearing and Settlement Technology
- **NICSA NOVA Awards:** 2018 Innovation in Distribution

Year Founded: 1994

Headquarters: Costa Mesa, California

Company Type: Private

Co-Founders: Satnam Gambhir, Tim Kan

Who We Serve: Over 490 fund companies

- Mutual fund companies
- Mutual fund transfer agents
- ABLE Act plan administrators
- College savings plan administrators
- Local government investment pool administrators
- Independent broker dealers (new channel in 2017)

Sample Clients:

- Ascensus Government Savings
- Gemini Fund Services
- Ultimus Fund Solutions
- Invesco Group Services
- State Street Bank and Trust Company
- U.S. Bank Global Fund Services



LEADERSHIP

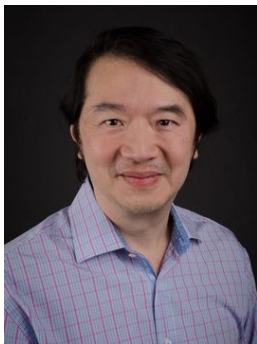
Envision Financial Systems represents the quintessential American success story, growing from lunch meetings in a Newport Beach park to a successful North American company headquartered in Costa Mesa, California.

Envision was founded by Satnam Gambhir, who emigrated to the US from India, and Tim Kan, who emigrated to the US from Hong Kong. The two met in 1988 through their work in the financial services industry, specifically with investment management and accounting systems for brokerage houses and mutual fund companies. Both Satnam and Tim observed problems with legacy mainframe-based technology applications and envisioned a future with more nimble, contemporary technology in the financial services marketplace. Taking their vision and observations, the two decided to form Envision, which would focus on contemporary mutual fund accounting applications.



Satnam S. Gambhir is co-founder, Chief Executive Officer and Chief Design Officer for Envision Financial Systems. He is responsible for determining the strategic direction of the company. Satnam has over two decades of experience in managing, designing and developing software applications. Prior to forming Envision, Satnam was Vice President of the Information Systems group for Analytic Investment Management. There he developed, implemented, and managed transfer agent software used by four mutual funds. Previously, he designed and developed Computer Aided Design software for Advanced Micro Devices in Sunnyvale, CA.

Satnam holds a Bachelor of Science degree in Electrical Engineering from the University of Bombay, India, and a Master of Science degree in Electrical and Computer Engineering from the University of Cincinnati, Ohio and an MBA with an emphasis in Finance and MIS from the University of California at Berkeley.



Timothy Kan is co-Founder, President and Chief Strategy Officer of Envision Financial Systems. Tim provides strategic leadership for the company by working with the Board and management to establish long-range goals, strategies, plans and policies. Tim's involvement in Envision provides clients with insight into the future of technology and he continues to forge pervasive ideas that shape Envision. Tim has over twenty-five years of experience in the financial services industry and information technology. Prior to co-founding Envision, he was the Chief Software Architect for StockMate Financial Systems. There he was responsible for designing, developing and managing software products for the company. Tim developed particular expertise in transfer agent software and market data system design and development. He also designed the successful strategy that led to the acquisition of StockMate by ADP.

Tim holds a Bachelor of Science degree in Electrical Engineering and Computer Science from the University of Wisconsin, Madison and a Master of Science degree in Electrical Engineering from the University of Southern California.

Envision Financial Systems and Quasar Distributors, LLC together offer FundKeeper. Quasar Distributors, LLC, Member FINRA, SIPC. To check the background of this firm, visit BrokerCheck by FINRA

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