

MAVEN VCT OFFERS - APPLICATION FORM

This form can be completed by an Applicant or their financial intermediary (with the Applicant's permission), as set out below. If you are a nominee wishing to apply on behalf of an investor, please do not use this form and contact the Receiving Agent (City Partnership) for alternative instructions. **Application Forms can only be submitted to the Receiving Agent (not to Maven)** and should be emailed or posted to the addresses shown at the end of this form.

Before completing this form you should read the Application Form Instructions and Terms and Conditions of Application contained in the Securities Note. **Failure to follow the Application Instructions could result in your Application not being accepted.**

Definitions used in the Securities Note dated 20 September 2021 apply to this Application Form. The Securities Note, Registration Document and Summary (together the "Prospectus") can be downloaded from: www.mavencp.com/vctoffer or requested by contacting Maven Capital Partners UK LLP on 0141 306 7400.

Please complete in BLOCK CAPITALS (one character per box)

To be completed by the Applicant (or by an intermediary if they are completing the Application Form on behalf of an Applicant)

1. Applicant Details

Title: (Mr/Mrs/Miss/Ms/Dr/Other)

Forename(s):

Surname(s):

Address:

Post Code:

If you have lived at that address for less than 3 years, to assist with anti-money laundering checks please provide details of your **previous address**:

Post Code:

Daytime Telephone*:

Email*:

Date of Birth: / / National Insurance No:

Non-UK tax residency - please list below any countries, other than the UK, in which you are resident for tax purposes, and the relevant taxpayer identification numbers (TIN):

Country: TIN: Country: TIN:

If you are currently a Shareholder (or the spouse/partner of) in any Maven VCTs, please tick the relevant boxes below.

The Shares are held: Directly on the register of members In a nominee account By my spouse/partner

*The contact information that the Receiving Agent should use in the event of any queries in respect of your Application.

2. Application Amounts

The cheque or bank transfer payment you provide must be for the **Total Application Amounts** set out below and must include the amount of any initial adviser charge to be paid to a financial adviser (who should also enter that adviser charge in Section 10b). Please note that an Application must be for a minimum aggregate amount of £3,000 (excluding any adviser charge), and for a minimum of £1,000 in each Company for which you apply.

I wish to apply for the amount(s) shown below, or such lesser amount(s) for which this Application will be accepted (in accordance with the re-allocation/return instructions set out in Section 3 of this Application Form):

	Tax Year 2021/2022	Tax Year 2022/2023
Maven VCT 3: (including any initial adviser charge)	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00
Maven VCT 4: (including any initial adviser charge)	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00
Total Application Amounts	£ <input type="text"/> , <input type="text"/> .00	£ <input type="text"/> , <input type="text"/> .00

3. Re-allocation/Return Instructions

In the event that an Offer for which I have applied has closed, or is deemed closed, at the time my Application Form is processed, then I hereby request the following **(tick one box only)**:

- (i) the amount in respect of the closed Offer(s) be re-allocated to the other Offer (in respect of the same tax year), irrespective of whether I have applied for it, **OR**
- (ii) the amount in respect of the closed Offer(s) be returned to me by bank transfer to the account detailed in Section 4

Please note – if you fail to tick a box above, option (i) will apply and your Application monies will be re-allocated (in respect of the same tax year) to the VCT that remains open.

4. Payment Details – you must TICK ONE BOX to indicate if you are paying by cheque or bank transfer, and provide details of the account from which you are paying and a payment reference* (which should also be written on the reverse of a cheque or used by your bank to make a transfer). Payment must be in pounds sterling from an account held at a UK regulated credit institution in the Applicant's sole or joint name (3rd party payments will not be accepted, including from business accounts or those of spouses/civil partners). **Any delay in providing funds may affect acceptance of the Application.**

Cheque or banker's draft (which should be enclosed) made payable to “*City - Maven VCT Offer - Segregated*” and crossed “A/C Payee only”

Bank transfer to be made to the following Receiving Agent account:

City - Maven VCT Offer - Segregated
Bank of Scotland
Account number: 22226364 / Sort Code: 802260

Details of Your Account:

Account Name:

Account Number: Sort Code: - -

***Payment reference** (initials and telephone number e.g. JS07210123456):
(letters and numbers only, please do not use spaces or special characters such as +)

5. Shareholder Preferences

Communications: please tick one box only to indicate how you would like to receive annual and half-yearly reports, or to be notified of their online publication, in respect of any New Shares for which your application is accepted and existing holdings in Maven VCT 3 and Maven VCT 4 (see Securities Note for more details):

Notifications by email: Notifications by post: Hard copy documents by post:

Nominee/CREST details (if applicable)

I request that any New Shares for which my Application is accepted are issued to my nominee (through CREST, or otherwise):

CREST Participant ID (if applicable): CREST Member Account ID (if applicable):

Nominee Name:

Address:

Post Code: Contact Telephone:

Contact Name:

6. Dividends

Note - if you have completed Nominee/CREST details above, do not complete this section (contact your nominee regarding dividend options). Please complete one of 6a OR 6b to indicate whether you wish all dividends payable by the Companies to which you have applied (in respect of New Shares and existing Shareholdings) paid to you by bank transfer or you wish to participate in the Dividend Investment Schemes (DIS). If you do not indicate a choice you will receive dividends either in line with your current choice (if you are already a Shareholder in the Companies) or otherwise by cheque.

6a. Dividend Payment Mandate

I elect to have all dividends paid into the account below (write “As Above” if they should be paid to the account detailed at 4):

Account Name:

Account Number: Sort Code: - -

6b. Dividend Investment Scheme

By ticking this box I elect to participate in the Dividend Investment Scheme(s) of those Companies to which I have applied, and I confirm that I have read the terms & conditions of those schemes.

If you are not applying through a financial intermediary, go to section 8.

7. Authority in Relation to Providing Shareholding Information to a Financial Intermediary and any 3rd Party Platform

By ticking this box, I HEREBY AUTHORISE the Registrar to provide, to the financial intermediary noted in Section 9, upon request once my Shares have been allotted, information regarding my shareholdings in the Companies to which I have applied (including any existing shares). This authority shall remain in effect until I revoke it by informing the Registrar in writing. I understand that this authority extends only to the provision of information regarding my shareholding, and that my financial intermediary will be unable to instruct any register changes or transactions on my behalf.

If you do not tick the box, your intermediary will not be able to request information from the Registrar (and any previous authority provided in respect of the Companies to which you have applied will be disregarded). For the purposes of processing this Application and reporting on share allotments, the Receiving Agent will provide information to any intermediary noted on this Application, regardless of whether you have granted the authority referred to above.

By ticking this box, I HEREBY AUTHORISE the Receiving Agent to also provide, to any 3rd party administration platform whose details my intermediary has provided in section 9 below, information regarding the processing or status of my Application under these offers (including acknowledgement of the application and notification of allotment). This authority shall remain in effect until shares are allotted in respect of this application.

8. Applicant's Declaration (not required if the Application details above have been completed by an intermediary on the Applicant's behalf, in which case the intermediary must tick the box at the start of section 9)

By signing this form I HEREBY DECLARE THAT I have read the information in the Securities Note regarding the use of my personal data and the requirements of the GDPR, and agree to its use by the Receiving Agent, the Registrar, Maven, the Companies to which I have applied and their third party advisers as necessary, to: process my application; allocate my Shares; provide information to my financial intermediary (if applicable) regarding the status of my application and share allotments; and provide me with the reports on the Companies that are required by law. I understand that the Companies will not share my data with any other party unless they are required to do so by law. I ALSO DECLARE THAT I have read the Terms and Conditions of Application set out in the Securities Note (and as further contained herein) and agree to be bound by them, including providing funds and any evidence of identity requested by the Receiving Agent. I understand that this subscription represents a long term investment and have read the risk factors set out in the Securities Note and the full Prospectus, and the Key Information Documents of the Companies for which I am applying.

Maven may also register you to receive twice-yearly newsletters (by email if you have provided an email address with this Application, otherwise by post), as well as news of portfolio investments and information about future VCT Offers. If you wish to receive this information, please tick this box:

Signature

Date / /

If you wish to apply via a financial intermediary, they must now **complete and sign Sections 9 to 11** below.

To be completed by a Financial Intermediary only (if applicable).

9. Financial Intermediary Details

Tick this box if you have completed the Application Form on behalf of the Applicant:

Firm Name:

FCA Number:

Network Name (optional):

Firm Address:

Post Code:

Administrator/Contact name*:

Email*:

Telephone*:

If details of application processing and share allotments are to be provided to a 3rd party platform, please insert details below:

Platform Name:

Platform Email:

*You must provide the contact name, email and telephone number you wish the Receiving Agent to use to issue application acknowledgements, or in the event of any queries in respect of this Application or intermediary fees/commissions.

Financial Intermediary Details (continued)

Please complete ONLY ONE of sections 10a OR 10b, to indicate whether this Application is non-advised (execution-only) or advised, and provide details of any relevant initial adviser charge or initial commission agreed with the Applicant.

10a. Non-advised (Execution-Only) Investment

Where you have not provided financial advice to your client in respect of the Application, you may agree with your client that you will receive initial commission of up to 3% of the Application Amount, or you may waive all or part of that 3%. Please specify below the level of any initial commission to be paid or waived (X and Y must total 3%):

Amount of initial commission to be paid to the 'execution-only' intermediary	X	%
Amount of initial commission to be waived and re-invested for the client	Y	%
Total X + Y (must total 3%)	TOTAL	%

10b. Advised Investment

If you have provided financial advice to your client in respect of this Application, please tick one box only below to confirm whether or not an initial adviser charge is required to be facilitated by the Receiving Agent:

- Your client has agreed to pay any initial adviser charge direct, or a charge is not being paid, so there is no requirement for any charge to be facilitated from the Application Amounts in Section 2.
- Your client has requested to have an initial adviser charge facilitated and paid to you by the Receiving Agent, from the monies provided with this Application (the amount of this charge must be included in the Application Amounts shown in Section 2, and as part of the monies provided by your client with the Application). Please indicate below the initial adviser charge **as a £ amount** (this must not be expressed as a % or it will delay the application).

Amount of initial adviser charge (subject to a maximum of 4.5% of the Application Amounts in Section 2) £ . . . , . . .

10c. Payment of Initial Commissions and Initial Adviser Charges to Intermediaries

Initial commissions or initial adviser charges will be paid directly to your account by bank transfer, please provide the account details below. Should you wish the Receiving Agent to include a payment reference on the commission or fee statement, identifying the payment associated with this Application, please also provide a reference.

Account Name:

Account Number: Sort Code: . . . - . . . - . . .

Payment Reference:

11. Financial Intermediary's Declaration

By signing this form I HEREBY DECLARE THAT I have read the Terms and Conditions of Application set out in the Securities Note (and as further contained herein) and agree to be bound by them. I confirm that: (i) I have the authority to sign this declaration on behalf of the Financial Intermediary; (ii) the amount inserted in Section 10a or 10b above (if applicable) has been agreed with the Applicant named in Section 1; and (iii) where trail commission is being paid in connection with this Application, we will notify Maven and the VCTs if the Applicant disposes of the shares or ceases to be our client, or if we subsequently provide advice in respect of the shares allotted. Where we have completed the Application Form on behalf of the Applicant, I confirm that the Applicant has given us the authority to do so, and that the Applicant will be providing funds in respect of the Application.

Maven may use the contact details (given in Section 9), to send you information about its VCT Offers and VCT related news. If you wish to receive this information, please tick the box:

Signature _____ Date . . . / . . . /

Position (i.e. capacity to sign on behalf of the financial intermediary)

Submitting your Application (see MAKING AN APPLICATION in the Securities Note)

Please either email the completed Application Form to the Receiving Agent at mavencp@city.uk.com or post it to **Maven VCT Offers, The City Partnership (UK) Ltd, The Mending Rooms, Park Valley Mills, Meltham Road, Huddersfield HD4 7BH**. If you are paying by cheque or banker's draft, please ensure that it is attached to the Application Form.