

Portfolio Management & Trading Solutions

SS&C ALPS Advisors offers you our deep knowledge and expertise within Portfolio Management and Trading across many product types, including Exchange Traded Funds (ETFs) and Mutual Funds with the ability to service Separately Managed Accounts (SMAs).

“ Opimas, a consultancy, estimates that a fifth of investment managers overseeing more than \$50 billion will outsource at least parts of their trading by 2022. ”

Trading & Portfolio Management Abilities

Day to Day Management

- Fund Flows
- Corporate actions & cash management

Rebalances

- Adhere to an index (passive) or model (active)

Tax Efficiency

- ETF custom baskets or tax loss harvesting depending on fund type

Should not be considered tax advice. Consult your tax advisor for further assistance.

Variety of Trade Instruments

- Equities and options

Foreign Trading

- Ability to access foreign markets

Utilize a leading Order Management System platform

- Ability to manage multiple models with multiple FIX Broker connections

Competitive Commissions

- Leverage numerous broker relationships

Trade Settlement Expertise

- Settle with multiple Custodians and the ability to reconstitute positions

Knowledge of Industry and Stock Markets

Sectors/Industries/Market Caps

- Trading expertise within various segments of the market

Industry Relationships

- Relationships with numerous brokers, market makers, and Authorized Participants (APs) to facilitate capital needs

Best Execution Policies

- Hands-on trading with various algorithms and dark pools
- High touch trading expertise

SS&C ALPS Advisors Team Experience

- 2 Portfolio Managers and a team of Analysts/Traders with 77 years of collective industry experience
- >18 Billion in Assets under Management
- Oversee 20+ funds; including ETFs, open-end and closed-end funds
- Active and passive funds currently traded by the team

“ Once again, the outsourced trading model shows a significant savings of \$200-500k annually to mid-size investment firms over the internal trading desk, even at the high turnover level. ”

*As noted in Jones Trading: June 2019
"Outsourced Trading vs. Insourced Trading: A Comprehensive Examination."*